

## Form PTO-1449 Modified

Docket No.  
PUAS-0016Serial No.  
09/982,001Applicant  
Stephen R. Forrest, et al.Filing Date  
October 18, 2001Group  
2874List of Patent and Publications  
Cited by Applicant  
(Use several sheets if necessary)U.S. Department of Commerce  
Patent and Trademark Office

## U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
Aullah	27	5,917,967	06/29/99	Dubey, et al.	385	14
	28	6,051,445	04/18/00	Dubey, et al.	438	31
	29	US 6,310,995 B1	10/230/01	Saini, et al.	385	28
	30	US 6,311,003 B1	10/30/01	Dubey, et al.	385	130
	31	US 6,314,117 B1	11/06/01	Heim et al. Landsman, et al.	709	203
	32	US 6,339,496 B1	01/15/02	Koley, et al.	359	344

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation YES NO	

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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Aullah	23	Dagenais, M., et al., "Alignment tolerant lasers and silicon waferboard integration," <i>Passive Alignment Techniques for Optoelectronic Transmitter Arrays</i> , <a href="http://www.ee.umd.edu/photonics/papers/spie/SPIE97.htm">http://www.ee.umd.edu/photonics/papers/spie/SPIE97.htm</a> , 1997, 6 pages
	24	Dagenais, M., et al., "complex needs drive optoelectronic integration," <i>Optoelectronics World</i> , July 1998, 157-160
	25	Saini, S.S., et al., "Compact mode expanded lasers using resonant coupling between a 1.55- $\mu$ m InGaAsP tapered active region and an underlying coupling waveguide," <i>IEEE Photonics Technology Letters</i> , September 1998, 10(9), 3 pages
	26	Vusirikala, V., et al., "1.55- $\mu$ m InGaAsP-InP laser arrays with integrated-mode expanders fabricated using a single epitaxial growth," <i>IEEE J. Selected Topics in Quantum Electronics</i> , December 1997, 3(6), 1332-1343

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